

Practitioner's Docket No. 2002 DE433

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Matthias Krull et al.

Examiner: Reddick, M.

Application No.: 10/676,962

Group No.: 1713

Filed: 10/01/2003

For: PREPARATION OF ADDITIVE MIXTURES FOR MINERAL OILS AND
MINERAL OIL DISTILLATESCommissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

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Applicant: Matthias Krull et al.
Serial No. 10/676,962
Filed 10/01/2003
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
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 - 1b. ☐ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT or EP Search Report .Australian Pat. Office 19 Apr 2004
 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,


Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707

PTO/SB/08a (08-03)

Approved for use through 07/31/2006, OMB 0851-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/876,982
Filing Date	10/01/2003
First Named Inventor	Matthias Krull et al.
Art Unit	1713
Examiner Name	Reddick, M.
Attorney Docket Number	2002DE433

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 4,211,534	07/08/1980	Feldman	
	AB	US- 6,165,440	11/24/1992	Johnston	
	AC	US- 5,391,832	02/21/1995	Krull	
	AD	US- 6,090,169	07/18/2000	Krull	
	AE	US- 6,179,458	01/30/2001	Albers	
	AF	US- 6,391,071	05/21/2002	Krull	
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
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